

# PCT

REC'D 24 JUN 2004



## INTERNATIONAL PRELIMINARY EXAMINATION REPORT (PCT Article 36 and Rule 70)

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Applicant's or agent's file reference P2-4226	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/PEA/416)	
International application No. PCT/IB 03/00895	International filing date (day/month/year) 12.03.2003	Priority date (day/month/year) 12.03.2002
International Patent Classification (IPC) or both national classification and IPC H01L51/20		
Applicant SEIMA ITALIANA SPA et al.		

- This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
- This REPORT consists of a total of 8 sheets, including this cover sheet.  
  
☒ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).  
  
 These annexes consist of a total of 19 sheets.

- This report contains indications relating to the following items:
  - ☒ Basis of the opinion
  - ☐ Priority
  - ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
  - ☐ Lack of unity of invention
  - ☒ Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
  - ☐ Certain documents cited
  - ☐ Certain defects in the international application
  - ☐ Certain observations on the international application

Date of submission of the demand  08.10.2003	Date of completion of this report  23.06.2004
Name and mailing address of the international preliminary examining authority:   European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized Officer  Paisdor, B  Telephone No. +49 89 2399-5953  

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. **PCT/IB 03/00895**

**I. Basis of the report**

1. With regard to the **elements** of the international application (*Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)*):

**Description, Pages**

1-14 received on 06.12.2003 with letter of 02.12.2003

**Claims, Numbers**

1-21 received on 11.03.2004 with letter of 11.03.2004

**Drawings, Sheets**

1/2-2/2 as originally filed

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).
3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:
- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. The amendments have resulted in the cancellation of:

- ☐ the description, pages:
- ☐ the claims, Nos.:
- ☐ the drawings, sheets:

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5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)).

*(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)*

6. Additional observations, if necessary:

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

1. Statement

Novelty (N)	Yes: Claims	3-5,12-21
	No: Claims	1,2,6-11
Inventive step (IS)	Yes: Claims	3-5,12-21
	No: Claims	1,2,6-11
Industrial applicability (IA)	Yes: Claims	1-21
	No: Claims	

2. Citations and explanations

**see separate sheet**

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/IB03/00895

**Re Item V**

Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

Reference is made to the following documents:

- D1: MADIGAN C F ET AL: 'IMPROVEMENT OF OUTPUT COUPLING EFFICIENCY OF ORGANIC LIGHT-EMITTING BY BACKSIDE SUBSTRATE MODIFICATION' APPLIED PHYSICS LETTERS, AMERICAN INSTITUTE OF PHYSICS. NEW YORK, US, vol. 76, no. 13, 27 March 2000 (2000-03-27), pages 1650-1652, XP000950541 ISSN: 0003-6951
- D2: PATENT ABSTRACTS OF JAPAN vol. 1998, no. 13, 30 November 1998 (1998-11-30) -& JP 10 223367 A (MITSUBISHI CHEM CORP), 21 August 1998 (1998-08-21)
- D3: PATENT ABSTRACTS OF JAPAN vol. 1997, no. 07, 31 July 1997 (1997-07-31) -& JP 09 076245 A (NIPPON COLUMBIA CO LTD), 25 March 1997 (1997-03-25)
- D4: PAN L-W ET AL: 'Cylindrical plastic lens array fabricated by a micro intrusion process' MICRO ELECTRO MECHANICAL SYSTEMS, 1999. MEMS '99. TWELFTH IEEE INTERNATIONAL CONFERENCE ON ORLANDO, FL, USA 17-21 JAN. 1999, PISCATAWAY, NJ, USA, IEEE, US, 17 January 1999 (1999-01-17), pages 217-221, XP010321678 ISBN: 0-7803-5194-0
- D5: EP-A-0 509 630 (DOLGOFF EUGENE) 21 October 1992 (1992-10-21)

**1. Novelty (Article 33(2) PCT)**

1.1 The present amended application does not meet the requirements of Article 33(2) PCT, because claims 1,2,6-11 are not novel; the application now comprises an independent product claim 1 for a lighting device and one corresponding independent method claim 12 pertaining to the production of the device of claim 1. Reference is now made to documents D1-D5.

1.2 Document D1 discloses a lighting device being cited by the applicant (cf. page 4 of the description) with a cathode and an ITO-layer as anode, an (at least partially) transparent substrate laminated upon it an array of micro-lenses which cooperates with a relative point of light emission (cf. fig. 3 of D1) and a luminescent OLED layer consisting for instance of a PVK/PBD/C6 layer. Further reference is made to Fig. 1, and to page 1651, left column, last paragraph to page 1652, right column, last paragraph. The array of micro-lenses of D1 is made by molding (cf. page 1652, left column), and is - in contrast to the subject-matter of claim 1 in its current wording - laminated to a planar substrate but not directly molded on one face of a substrate.

1.3 However, in document D2 subject-matter destroying the novelty of the aforementioned claims 1,2,6-11 is disclosed. D2 discloses a lighting device comprising the following technical features defined in said claims of the present application and pertains to a plastic-molded array of micro-lenses **1A** on the substrate of the OLED according to D2. Reference is made to the PAJ abstract and to figure 1a of D2; paragraph 17 of D2 (English translation) teaches that the micro-lenses are formed on one side of the substrate, opposite to the light source (2 in Fig. 1a), and from paragraph 26 we learn that the microlens structure is formed by a pre-shaped mold. How this molding takes place does not matter to be novelty destroying for those **product claims** of the present application lacking novelty, because in the device according to the claims one cannot distinguish how the molded structure, being an integral form of the plastic substrate itself, was prepared. D2 further implicitly discloses that the points of light emission consist of crossing points between positive and negative electrodes. It is also implicitly disclosed in D2 that all micro-lenses of a mold-formed lenticular element are equal to each other or different from each other, for instance because of the wording in paragraph 29 of D2. It is furthermore obvious that all lenticular objects can either be equal or different from each other, when a mold with or without the mask used to produce the device of D2 is used to shape the array of lenticular objects of the device of D2. The lateral size and thickness of the micro-lenses claimed in claim 7 of the present application is anticipated by paragraph 14 of D2, and it is evident from paragraph 21 that the substrate is a plastic, at least partly flexible material (e.g. a substrate based on (meth)acrylate polymer).

1.4 The teachings of D2 are only anticipating the subject-matter of the product claims 1,2,7-11, but are not destroying the novelty of the remaining product claims 3-5, where

the specific shift of the relative center of the micro-lenses with respect to the relative point of light emission, or the diffractive type of lenses, as well as the thickness of said micro-lenses is detailed.

1.5 The subject-matter of method claim 12 is novel with respect to D2, because the closest state of the art document D2 does not teach the direct molding of lenticular optical elements on the substrate with micrometric precision, but teaches the molding of a substrate with integrated lenticular elements by liquid resin molding. Consequently, claim 12 is novel in the sense of Article 33(2) PCT and the remaining dependent method claims 13-21 automatically meet the requirement of novelty.

1.6 Document D3 discloses a mold to obtain an array of micro-lenses corresponding to the lenticular optical element of claims 1 of the present application. It is referred to the PAJ-abstract and to Fig. 1, where the use of Ni for the operating layer of the mold is mentioned; it is clear from D3 that the lenses are made equal to each other by the mold and method of D3. Also document D4 is cited as disclosing molding processes to obtain lens arrays on one face of a substrate. To this end it is referred to D4, page 217, abstract, Fig. 1 and Fig. 3. Finally referring to document D5, page 17, column 32, lines 26-51, this document discloses (cf. line 37 of col. 32) how a nickel plated mold for the hot-embossing technique can be prepared and used for making a lens array. None of the documents D3-D5 refers to LED devices or to methods of making them.

## **2. Inventive Step (Article 33(3) PCT)**

2.1 In view of the lack of novelty of claims 1,2,6-11 outlined above (cf. section 1), said claims automatically also lack inventive step and thus do not meet the requirements of Article 33(3) PCT.

2.2 The remaining dependent device claims 3-5 representing novel subject-matter and the independent method claim 12 meet the requirements of Article 33(3) PCT being inventive subject matter. The distinguishing structural feature of the subject-matter of dependent product claim 3 consists in the shift of the relative center of the micro-lenses 22 with respect to the relative point of light emission.

2.3 The technical problem to be solved by the distinguishing technical feature in claim

3 (see item 2.2) consists in the provision of a further OLED device.

2.4 In the light of the prior art documents available, a person skilled in the art would not have been able to provide the solution of this technical problem to be solved underlying the subject-matter of present claims 3-5, and not even an incentive to provide OLED devices with micro-lenses integrally formed on once side of the substrate having the relative center shifted with respect to the relative point of light emission. Also, as an unexpected technical property of the device of claims 3-5, a micrometric precision of the micro-lenses' location with respect to the points of light emission is obtained, which is neither disclosed nor fairly suggested in the prior art.

2.5 The main technical problem of the independent claim 12 of the present application is more specifically, a method "to obtain, with micrometric precision, the desired effect of directing and shaping the light beam, even lens by lens or zone by zone of the optical device" (page 7, lines 6-9 of the original description)". Again D2 is regarded to represent the closest state of the art, and the distinguishing technical feature of the method of claim 12 compared to the method of D2 consists in that D2 does not teach the direct molding of lenticular optical elements on the substrate with micrometric precision, but teaches the molding of a substrate with integrated lenticular elements by liquid resin molding.

2.6 From the available prior art, a skilled person would not have been able to provide the solution of the method claim 12. More importantly, in the prior art documents a micrometric precision is not mentioned and a person skilled in the art would not have received any incentive to try to find a solution to this specific problem. Therefore, starting from O2, a skilled person would not have any incentive to find in D3, D4 or D5 the most attractive and suitable molding technique (such as the hot-embossing technique disclosed in D3, or the "step and repeat" technique cited in D5, both cited in the present application), to obtain the micro-lenses with micrometric precision directly on one face of the substrate with a pre-formed mold. Also D3, D4 and D5 would not have been considered by a person skilled in the art, because they do not pertain to OLED or LED-devices.

2.7 Consequently, the method of independent claim 12 is inventive in the sense of Article 33(3) PCT; the same applies to the remaining dependent claims 13-21 which are also inventive subject-matter.

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**3. Clarity (Article 6 PCT)**

3.1 The vague and imprecise statement in the description on page 13, line 32 - page 14, line 3 implies that the subject-matter for which protection is sought may be different to that defined by the claims, thereby resulting in lack of clarity (Article 6 PCT) when used to interpret them (see also the PCT Guidelines, III-4.3a).

3.2 The description is not in conformity with the amended claims as required by Rule 5.1(a)(iii) PCT.



DT09 Rec'd PCT/PTO 10 SEP 2004

"OPTICAL LIGHTING DEVICE AND METHOD TO PRODUCE LIGHTING  
DEVICES ADOPTING SAID OPTICAL DEVICE"

\* \* \* \* \*

## FIELD OF THE INVENTION

5 The present invention concerns an optical lighting  
device, used to make integrated electro-optical lighting  
devices of the multi-layer type.

Such integrated lighting devices comprise at least an  
outer substrate to irradiate and diffuse the light, at  
10 least partly transparent and advantageously of the  
flexible type, associated with an electro-luminescent  
light source comprising light emitting means, for example  
of the led type (light emitting diode).

The present invention is characterized in that a  
15 lenticular optical micro-element to diffuse the light is  
applied, or made directly, on at least one face of the  
flexible and transparent substrate, so as to constitute,  
with said light source, an integrated multi-layer  
structure as a source/diffuser of light, suitable to  
20 achieve particular and desired effects for the emission  
and direction of the light beams.

The invention also concerns the method to produce  
integrated lighting devices adopting said optical device.

## BACKGROUND OF THE INVENTION

25 In the field of production of electric and electronic  
instruments and apparatuses, it is known to use light  
emitting devices which comprise led-type electro-  
luminescent light sources used to make lighting devices,  
displays, or more generally illuminated display screens.

30 As is known, leds are devices able to convert electric  
energy into luminous energy and are therefore able to emit  
radiant energy in the form of light when they are fed by a  
suitable electric current.

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Applications of this type of light sources are extremely various and can comprise displays or monitors for calculators, screens for portable or fixed telephone systems, screens for televisions or measuring instruments/indicators, luminous panels, inside lights for rooms, lighting devices in general and other uses.

In the field of automobile or motor vehicle production, both for the instruments on the dashboard inside and for part of the lighting system, this type of led-type light source has sometimes replaced conventional incandescent bulbs, thanks to the particular and appreciated aesthetic effects of multi-point emission which can be obtained.

Leds using conventional semi-conductors have recently been supported and/or replaced by leds which use organic-type film obtained with particular compounds which, from the point of view of electric conduction, have characteristics comparable to those of semi-conductors. The possibility of using synthetic organic compounds as a luminescent element has allowed to make lighting devices and displays of smaller size and high resolution, at the same time ensuring high efficiency in the transmission of light, and long duration.

A typical multi-layer light source with leds of this type comprises a negative pole (cathode), normally made with an alloy of aluminum and indium, at least a luminescent layer made of organic material which also encourages the passage of the charge between the electrodes, a positive pole (anode) normally consisting of a transparent conductive electrode and a transparent substrate to emit the light. Said substrate is advantageously of the flexible type and can be made of glass or transparent plastic.

Between the anode and the transparent substrate there is

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advantageously a protective layer to prevent infiltrations of air and water in contact with the organic films and the electrodes.

Document JP-A-10-223367 provides a method to produce  
5 optical systems by means of the photo-curing system, possibly also by means of exposure through a mask; this method does not allow to obtain the micro-optical systems in a repeatable and industrial manner with the prescribed values of size, since such photo-curing system is a  
10 laboratory technique, embodied in an experimental method which is not applicable for serial production.

Moreover, this document provides that the optical systems are produced on a plastic film that is then applied separately to an organic led device (OLED)  
15 produced on glass. This method not only increases costs, but also does not guarantee a constant precise positioning of the optical system with respect to the light source.

Document JP-A-09-171892 emphasizes the fact that it uses optical systems essentially of the spherical type coupled  
20 with OLED structures.

The optical systems described are all of the refractive type, and in particular are of the type with a refractive distributed index. The Applicant has discovered, on the contrary, that better results, in relation to the aims  
25 proposed, are obtained with optical systems of a diffractive type. In addition, said document emphasizes the importance of centering the lenses on the emittent pixels, whereas the Applicant has discovered that better results, in terms of directing and shaping the beam, are  
30 obtained by shifting the centering between the emittent pixel and the micro-optical system, in order to obtain the effect of directing the light in the most convenient and suitable manner to obtain a desired distribution of

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radiation output from the integrated device.

In general, it must be underlined that the prior art proposes solutions wherein the optical system is applied on the emittent substrate containing the light sources in order to increase the efficiency of light emission, that is, to increase the percentage of luminous radiation emitted from the front of the device with respect to that which is lost at the sides (see for example also JP-A-04-192290 and JP-A-2001-135477). It is known, in fact, that due to the variation in the index of refraction in the glass/air or plastic/air interface, a mirror effect of total reflection is determined inside the optical device, so that a high percentage of luminous radiation remains trapped in a sort of wave guide, and consequently the frontal emission is reduced. The presence of a corrugated optical system applied to the emittent substrate, creating an angle on the incident ray, encourages a better extraction of the light beam with fewer losses due to reflection and hence a greater efficiency of the optical device. A teaching in this direction also comes from the article "Improvement of output coupling efficiency..." by C.F. Madigan et al., which teaches precisely to apply a lenticular optical system on an organic substrate of light emission in order to vary the angle of incidence of the ray of light and thus increase the efficiency of emission of the optical device. This document teaches to make a thin layer of transparent micro-lenses in a printed silicon sheet and then laminate it on the glass substrate after the organic leds have been applied.

However, the state of the art does not deal with the problem of directing and shaping the light beam, using said micro-lenses. The application techniques of the micro-lenses, which use applied layers like JP'528, or

laminated, as in the article by Madigan et al., teach away from the purpose of directing the light beam according to desired angles, since such techniques do not allow to guarantee a micrometric positioning of the individual lens with respect to the relative light source. Nor do such techniques allow to guarantee a precise diversification of the orientation and shaping of the light beam inside the same optical device.

For the same reason, US-A-6,080,030 does not achieve the purpose set since the lenses are obtained either by applying a layer of ultraviolet cured resin on an emittent substrate, or by implanting ions in the substrate in order to produce a distribution of the refractivity. However, this solution is intended to obtain an image with very pure colors, since every color is refracted in a differentiated manner inside the individual lens, but it does not allow to obtain a desired orientation of the light beam emitted.

Therefore, such conventional light sources, from the point of view of diffusing the light beams produced by the led-type sources, do not have characteristics such as will allow both to achieve appreciable aesthetic effects, and to make said beams able to be oriented and directed so as to cover areas which cannot be reached without using particular optical effects.

The Applicant has devised and embodied the present invention to overcome these shortcomings of the state of the art and to obtain further advantages.

#### SUMMARY OF THE INVENTION

The present invention is set forth and characterized essentially in the respective main claims, while the dependent claims describe other innovative characteristics of the invention.

The purpose of the present invention is to achieve light sources to make lighting devices using radiant electroluminescent devices able to emit and diffuse light beams suitably directed and directable when fed by a suitable electric impulse.

Another purpose is to perfect a method to produce lighting devices which allows to make multi-layer integrated lighting structures using led technology.

The invention can advantageously be used to make lighting instruments in the field of automobiles, both for the lighting system and for the instrument panel inside, although this application is not to be considered in any way restrictive.

In accordance with these purposes, a lighting device according to the present invention comprises a light source consisting of a multi-layer structure with at least a positive and negative electrode to supply electric power, between which at least a luminescent layer is located.

On the outer side of said multi-layer structure, there is an at least partly transparent substrate able to diffuse, in a diffractive manner, the light generated by the luminescent layer.

According to a distinctive characteristic of the present invention, a lenticular optical element to diffuse the light beam is associated with said substrate so as to constitute, with said light source, an integrated structure to generate, emit and direct the light.

The lenticular optical element consists of a plurality of micro-lenses made directly on a plastic substrate, in a position and number mating with the position of the crossing points between anode and cathode, so that every lens constitutes an element to diffuse the light emitted

by each individual light source point.

According to a first embodiment of the invention, the lenticular optical element is made directly, by means of a pre-formed mold, on the outer face of the transparent substrate. This embodiment, which is a preferential one, allows to configure the mold so as to obtain, with micrometric precision, the desired effect of directing and shaping the light beam, even lens by lens or zone by zone of the optical device.

10 It comes within the field of the invention to make the lenticular optical element on the inner face, or also on the inner face as well as on the outer face, of the relative substrate.

The Applicant has verified that a desired effect of shaping the beam is obtained by shifting, for example by some tenths of a micron, the center, or baricenter in the case of a non-spherical shape, of the lens from the center of the relative pixel which emits the light, so that not only is it not necessary to obtain any centering, but also  
20 it is advantageous to avoid it.

The Applicant has also found that, although in general the state of the art teaches to use optical systems of a refractive type since the objective is to increase radiant efficiency, in order to achieve the purpose set it is  
25 preferential to use an optical system of a diffractive type.

An optical system of a diffractive type does not compromise the efficiency of the light radiation but allows to obtain the desired characteristics of orienting  
30 and directing the light beams according to the desired design specifications.

In one embodiment of the invention, the micro-lenses which constitute the optical element are all alike;

according to another solution, the lenses are differentiated according to the zones of the optical element, or even lens by lens, so as to create a desired differentiated effect in the directioning of the individual rays of light according to the design specifications.

5 In a first embodiment, the molding of the lenticular optical element on a face of the substrate is performed cold whereas, according to a preferential variant, the molding is performed hot.

10 Obtaining the micro-lenses directly on the plastic substrate by means of molding, and in particular obtaining the micro-optical systems by means of the hot-embossing technique, allows to achieve large quantities of plastic supports industrially and in a repetitive manner, already equipped with the desired micro-optical systems. This would not be possible using the laboratory techniques proposed in the state of the art.

15 The Applicant has found that this embodiment becomes advantageous using molds having at least the operative layer made of nickel, wherein the impressions to define the optical matrixes, all the same or different from each other, are obtained with the known procedure called "step and repeat".

20 In one embodiment of the invention, the molding of the lenticular optical element is performed after the layers, which make up the light source emitting the light, have already been applied on the opposite face of the transparent substrate.

25 According to a variant, a thin pre-formed film bearing the lenticular optical element is applied on an outer face of the transparent substrate. In this variant solution, less favorable but equally usable in certain applications,



a protective layer can be located between the lenticular optical element and the transparent substrate.

In a preferential embodiment, the lenticular optical element is made of transparent plastic material, with high  
5 thermo-forming characteristics.

In a further preferential embodiment, the lenticular optical element has a thickness of between 1 and 100  $\mu\text{m}$  (micron), advantageously between 1 and 40  $\mu\text{m}$ , and a lateral size of between 5 and 1000  $\mu\text{m}$ , advantageously  
10 between 10 and 300  $\mu\text{m}$ .

#### BRIEF DESCRIPTION OF THE DRAWINGS

These and other characteristics of the present invention will be apparent from the following description of a preferential form of embodiment, given as a non-  
15 restrictive example, with reference to the attached drawings wherein:

- fig. 1 shows, in section, a first form of embodiment of a lighting device according to the present invention;
- 20 - fig. 2 shows, in section, a second form of embodiment of the device according to the invention;
- figs. 3, 4 and 5 show, from above, in three possible variant solutions, a lighting device according to the invention;
- 25 - fig. 6 shows a detail of fig. 5 on enlarged scale.

#### DETAILED DESCRIPTION OF SOME PREFERENTIAL FORMS OF

#### EMBODIMENT OF THE INVENTION

With reference to the attached figures, a lighting device, of the type using led technology to generate  
30 light, is denoted in its entirety by the reference number 10.

The device 10 according to the invention consists of a multi-layer structure comprising, in integrated form, a

multi-point light source, denoted in its entirety by the reference number 11, and an optical system to diffuse and direct the light beams, denoted in its entirety by the reference number 12.

5 The light source 11 consists of a negative electrode, or cathode, 13 and of a positive electrode, or anode, 14, connected to each other by a circuit comprising an electric feed source 15. Feed can be either in alternating current or direct current.

10 The cathode 13 can consist, for example, of a metallic film made of aluminum-indium alloy, applied for example by deposition. The anode 14 is advantageously made of transparent metal and can consist, for example, of an indium-tin oxide.

15 In an intermediate position between the cathode 13 and the anode 14 there is a luminescent multi-layer structure formed, in this case, by two layers 16 and 17 of thin-film semi-conductors, one p-type and one n-type, which constitute the active element of the light source.

20 Said semi-conductors, in a first embodiment, are chosen from one or more of the normal elements used for this function, for example silicon or germanium, suitably doped.

In another embodiment, the semi-conductor films consist  
25 of at least a p-type organic compound, for example naphtha-phenylene benzidine, and at least an n-type organic compound, for example aluminum hydroxyquinoline. These specific compounds are cited here only as an example, and are not to be considered in any way  
30 restrictive for the possible applications of the present invention.

The use of organic compounds to make semi-conductor light sources is in itself known, and, compared with

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traditional semi-conductors, allows to increase the efficiency and duration of said sources, also allowing to make extremely small lighting devices, displays and screens and with a high capacity to transmit light.

5 Between the anode 14 and the upper semi-conductor layer 16 there is, in this case, a stabilizing layer 18, made, for example, of copper phtalocyanine. The anode 14, the cathode 13 and the semi-conductor layers 16 form a matrix whose crossing points, indicated by the reference number  
10 19 in figs. 3-5, define the individual points of light emission of the optical lighting device 10.

Particularly in the case when semi-conductors of an organic type are used, between the anode 14 and the transparent substrate 20 there is advantageously a thin  
15 protective layer 23 to protect against infiltrations of water, oxygen and other degrading elements.

All this as described heretofore is substantially known in the art for the production of displays and lighting screens.

20 The optical system to diffuse and direct the light 12, in this case, comprises a transparent substrate 20 of a substantially conventional type, made of plastic or other transparent material, and preferentially of the flexible type, associated with a lenticular element 21 comprising a  
25 plurality of micro-lenses 22 cooperating with the multi-point light source. Said micro-lenses are obtained by means of molding directly in the substrate. To be more exact, each micro-lens 22 is centered and oriented so as to be displaced (fig. 6) by a distance "d" of some  
30 microns, or tenths of microns, with respect to the relative light source consisting of a relative crossing point 19 or pixel, so as to create a plurality of light emission points focused in a desired manner by means of

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ART 34 AMB

the specific design of the lenticular element 21. To be more exact, this shifting of the center of the micro-lens 22 can occur both along one of the two main axes, x or y, of the micro-lens 22, and also with respect to both, so that the distance "d" is defined by components  $d_x$  and  $d_y$ .

According to the application and the type of light source, the micro-lenses 22 can be of the refractive or diffractive type, but preferentially they are of the diffractive type, since this type of lenses, while it does not reduce the efficiency of the radiation emitted, allows better results in terms of directing the light beam, which can thus be made coherent with the design specifications, even lens by lens.

As can be seen in the embodiment shown in fig. 1, the lenses 22 are made directly on the transparent substrate 20, for example by means of molding, either cold or hot, of its outer surface by means of a suitable pre-formed mold. The preferential embodiment, which as we have said uses a hot-embossing technique to make the lenses directly on the substrate, allows to achieve, on an industrial scale, large quantities of micro-optical systems characterized by micrometric precision both with regard to the positioning with respect to the relative light sources and also with regard to the geometry of the corrugations proposed for shaping the beam. The use of molds made of nickel, whose impressions are conformed according to design specifications, constitutes a peculiarity of the present invention which achieves considerable advantages in that it saves time and money, the material is easier to find, and results are standardized.

Said molding is advantageously performed before the light source 11 is associated on the other face of the substrate 20. According to a preferential variant, the

lenses 22 are molded on the outer face of the substrate 20 after the light source has already been associated with the other face of the substrate 20.

In the embodiment shown in fig. 2, which as we have said is less preferable than direct molding, the micro-lenses 22 are made on a thin film, preferentially made of plastic material, which is applied and made solid, for example by hot gluing or other suitable technique, with the outer face of the substrate 20.

The association between the lenticular element 21 and the transparent substrate 20 in fact achieves an integrated micro-optical system to diffuse the light beams, suitable to create particular effects, both aesthetically and in the direction of the light to points which cannot be reached with luminous devices used at present.

According to the use and aesthetic effect to be obtained, the lenses 22 can be substantially of any shape, for example circular (fig. 3), hexagonal (fig. 4), square (fig. 5), or any other shape, provided they are suitable to be positioned in a desired manner in correspondence with the crossing points 19, or pixels, advantageously with a desired shift "d", corresponding to the individual light sources.

It is clear, however, that modifications and/or additions of parts may be made to the lighting device 10, and the method to make lighting devices, as described heretofore, without departing from the spirit and scope of the present invention.

CLAIMS

- 1 - Lighting device comprising a light source (11) including at least a positive electrode (14) and a negative electrode (13) to supply electric power, interacting with each other and between which at least a luminescent layer (16, 17) is located, on the outer side of said multi-layer structure there being an at least partly transparent substrate (20) able to diffuse the light generated by said luminescent layer (16, 17), a lenticular optical element being associated with one face of said substrate (20), characterized in that said lenticular optical element (21) to diffuse the light beam is obtained by means of molding in a single piece in said substrate (20) so as to constitute, with said light source (11), an integrated structure to generate, emit and direct the light, said optical element (21) consisting of micro-lenses (22) each one cooperating with a relative point of light emission (19) to direct and shape the relative ray of light emitted.
- 2 - Device as in claim 1, characterized in that said at least one luminescent layer (16, 17) is of the led type.
- 3 - Device as in claim 2, characterized in that each of said points of light emission (19) consists of crossing points (19), or pixels, between said positive electrode (14) and said negative electrode (13).
- 4 - Device as in any claim hereinbefore, characterized in that said micro-lenses (22) have the relative center located shifted with respect to the relative point of light emission (19).
- 5 - Device as in claim 4, characterized in that said shift is achieved with respect to one and/or the other of the main axes (x, y) of the relative micro-lens (22).
- 6 - Device as in any claim hereinbefore, characterized in

that at least some of said micro-lenses (22) are of the diffractive type in order to increase the effect to divert and direct the ray of light emitted by the relative point of light emission (19).

5 7 - Device as in any claim hereinbefore, characterized in that said micro-lenses (22) have a thickness of between 1 and 100 micron ( $\mu\text{m}$ ), preferably between 1 and 40 micron.

8 - Device as in any claim hereinbefore, characterized in that said micro-lenses (22) have a lateral size of between  
10 5 and 1000 micron, preferably between 10 and 300 micron.

9 - Device as in any claim hereinbefore, characterized in that the micro-lenses (22) of a relative lenticular optical element (21) are all equal to each other.

10 - Device as in any claim from 1 to 8 inclusive,  
15 characterized in that the micro-lenses (22) of a relative lenticular optical element (21) are different from each other according to the desired effect to direct and shape the light beam emitted by the relative point of light emission (19).

20 11 - Device as in any claim hereinbefore, characterized in that said lenticular optical element (21) is present on the outer face of said substrate (20).

12 - Device as in any claim hereinbefore, characterized in that said lenticular optical element (21) is present on  
25 the inner face of said substrate (20).

13 - Device as in any claim hereinbefore, characterized in that said lenticular optical element (21) consists of a thin film applied on one face of said substrate (20).

14 - Device as in claim 13, characterized in that said  
30 thin film has a thickness of between 100 and 200 micron.

15 - Device as in claim 13, characterized in that between said thin film and said substrate (20) there is at least a protective layer.

- 16 - Device as in any claim from 1 to 15 inclusive, characterized in that said lenticular optical element (21) is made of transparent plastic material.
- 17 - Device as in any claim from 1 to 15 inclusive, characterized in that said lenticular optical element (21) is made of at least partly flexible glass.
- 18 - Device as in any claim from 1 to 17 inclusive, characterized in that said substrate (20) is made of plastic material.
- 19 - Device as in any claim from 1 to 17 inclusive, characterized in that said substrate (20) is made of at least partly flexible glass.
- 20 - Device as in any claim hereinbefore, characterized in that the led-type luminescent layer (16, 17) consists of organic-type semi-conductor compounds.
- 21 - Device as in any claim hereinbefore, characterized in that the led-type luminescent layer comprises at least a p-type thin film (16) and at least an n-type thin film (17).
- 22 - Method to produce lighting devices comprising at least a led-type multi-layer light source (11) and at least an optical means (12) to diffuse and direct the light beams, comprising at least an at least partly transparent substrate (20), at least a lenticular optical element being associated with one face of said substrate (20), characterized in that said lenticular optical element (21) is obtained integrated with said substrate (20) so as to function as a micro-optical system for the directed and shaped emission of the light beams produced by the individual points of emission (19) of said led-type light source (11), said lenticular optical element (21) being made on one face of said substrate (20) by means of molding.



23 - Method as in claim 22, characterized in that the molding is performed with nickel molds on which the impressions corresponding to the lenticular optical matrix are obtained with the step and repeat technique.

5 24 - Method as in claim 22 or 23, characterized in that said molding is performed hot.

25 - Method as in claim 24, characterized in that the hot molding is performed on an industrial scale with the hot-embossing technique.

10 26 - Method as in claim 22 or 23, characterized in that said molding is performed cold.

27 - Method as in any claim from 23 to 26 inclusive, characterized in that the micro-lenses (22) of a same lenticular optical element (21) are all made equal to each  
15 other.

28 - Method as in any claim from 23 to 26 inclusive, characterized in that the micro-lenses (22) of a same lenticular optical element (21) are made different from each other so as to perform specific functions of  
20 directing and shaping the light beam emitted by the relative point of light emission (19).

29 - Method as in any claim from 22 to 28 inclusive, characterized in that said molding takes place after said substrate (20) has been associated with the light source  
25 (11).

30 - Method as in any claim from 22 to 28 inclusive, characterized in that said molding takes place before the light source (11) has been associated with said substrate (20).

30 31 - Method as in any claim from 22 to 30 inclusive, characterized in that a thin lenticular film of a thickness between 100 and 200 micron is applied to said substrate (20).

32 - Method as in claim 31, characterized in that at least one protective layer is inserted between said substrate (20) and said thin lenticular film (21).

33 - Method as in any claim from 22 to 32 inclusive, characterized in that the micro-lenses (22) of said lenticular optical element (21) are positioned shifted with respect to the corresponding crossing point, or pixel, (19) between said positive electrode (14) and said negative electrode (13).

34 - Mold to obtain a lenticular optical element (21) on one face of a substrate (20) constituting a source of light emission, characterized in that it has at least the operating layer made of nickel.

35 - Mold as in claim 34, wherein said lenticular optical element (21) consists of a plurality of micro-lenses (22), characterized in that the mold has impressions corresponding to each of said micro-lenses (22) and having a depth of between 1 and 100 micron, preferably between 1 and 40 micron.

36 - Mold as in claim 34 or 35, wherein said lenticular optical element (21) consists of a plurality of micro-lenses (22), characterized in that the mold has impressions corresponding to each of said micro-lenses (22) and having a lateral size of between 5 and 1000 micron, preferably between 10 and 300 micron.

37 - Mold as in any claim from 34 to 36 inclusive, characterized in that the impressions are obtained with the step and repeat technique.

38 - Mold as in any claim from 34 to 37 inclusive, characterized in that said impressions are all equal to each other so as to make mating micro-lenses (22) of a same lenticular element (21) all equal to each other.

39 - Mold as in any claim from 34 to 37 inclusive,

characterized in that said impressions are different from each other so as to make mating micro-lenses (22) of a same lenticular element (21) all different from each other.